Image# 12940296524 PAGE 1 / 41

# **FEC** FORM 3Y

# **REPORT OF RECEIPTS AND DISBURSEMENTS**

TORW 3X	For Other Than An Aut	horized Committee	Office Use Only
NAME OF     COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, typ over the lines.	12FE4M5
Securities Industry an	nd Financial Markets A	Association Political A	Action Committee
ADDRESS (number and street) ▼	8th Floor	V 	
Check if different than previously reported. (ACC)	Washington		DC 20005
2. FEC IDENTIFICATION N	IUMBER ▼ CIT	ΓY▲	STATE ▲ ZIP CODE ▲
C C00431312		S THIS NEW (N)	OR AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20	(Non-Election Year Only)
(a) Quarterly Reports:		20 (M4) Jul 20	Year Only)
April 15 Quarterly Report (	(Q1) (c) 12-Day	Primary (12P)	General (12G) Runoff (12R)
July 15 Quarterly Report ( October 15	(Q2) PRE-Election Report for the:	Convention (12C)	Special (12S)
Quarterly Report ( January 31	Floati	m m / D E	in the State of
Year-End Report ( July 31 Mid-Year Report (Non-electi Year Only) (MY)	(d) 30-Day	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Report for the:	on on	in the State of
5. Covering Period	09 01 7 2012		M M / D D / Y Y Y Y Y O O O O O O O O O O O O O O
I certify that I have examined	this Report and to the best of	my knowledge and belief i	t is true, correct and complete.
Type or Print Name of Treasur	er Mr. Kenneth E. Bentsen Jr.		
Signature of Treasurer Mr.	Kenneth E. Bentsen Jr.	[Electronically Filed]	Date 10 / 19 / 2012
NOTE: Submission of false, erro	neous, or incomplete informatio	n may subject the person sig	gning this Report to the penalties of 2 U.S.C. §437g.
Office Use Only			FEC FORM 3X Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

### Securities Industry and Financial Markets Association Political Action Committee

2012 09 30 2012 Report Covering the Period: 09 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 253204.51 January 1, 2012 (b) Cash on Hand at 265883.55 Beginning of Reporting Period..... 253408.51 36278.97 (c) Total Receipts (from Line 19) ..... (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 302162.52 506613.02 6(a) and 6(c) for Column B)..... 130325.29 334775.79 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 171837.23 171837.23 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) .....

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

### Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: 09	01 2012	To: 09 / 30 / Y Y Y Y Y
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:  (a) Individuals/Persons Other		
Than Political Committees (i) Itemized (use Schedule A)	25678.68	182081.40
(ii) Unitemized(iii) TOTAL (add	275.00	3626.94
Lines 11(a)(i) and (ii)▶	25953.68	185708.34
(b) Political Party Committees (c) Other Political Committees	0.00	0.00
(such as PACs)(d) Total Contributions (add Lines	10000.00	65500.00
11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)  12. Transfers From Affiliated/Other	35953.68	251208.34
Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
<ul><li>14. Loan Repayments Received</li><li>15. Offsets To Operating Expenditures</li></ul>	0.00	0.00
(Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	325.29	2191.17
to Federal Candidates and Other Political Committees	0.00	0.00
(Dividends, Interest, etc.)	0.00	9.00
(from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶	36278.97	253408.51
20. Total Federal Receipts (subtract Line 18(c) from Line 19)▶	36278.97	253408.51

### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date		
Operating Expenditures:     (a) Allocated Federal/Non-Federal		Calchaal Ical-to-Date		
Activity (from Schedule H4)				
(i) Federal Share	0.00	0.00		
(ii) Non-Federal Share	0.00	0.00		
(b) Other Federal Operating	7 7	7 7		
Expenditures	325.29	2266.79		
(c) Total Operating Expenditures	225.20	2266 70		
(add 21(a)(i), (a)(ii), and (b))▶  Transfers to Affiliated/Other Party	325.29	2266.79		
Committees	0.00	0.00		
Contributions to Federal Candidates/Committees				
and Other Political Committees	130000.00	332500.00		
Independent Expenditures	0.00	0.00		
(use Schedule E) Coordinated Party Expenditures	7 7			
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00		
Loan Repayments Made	0.00	0.00		
Loans Made	0.00	0.00		
Refunds of Contributions To: (a) Individuals/Persons Other				
Than Political Committees	0.00	0.00		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees	3.00			
(such as PACs)	0.00	0.00		
(d) Total Contribution Refunds				
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))▶	0.00	0.00		
(434 265 26(4), (6), 44 (6),	7 7			
Other Disbursements	0.00	9.00		
Federal Election Activity (2 U.S.C. §431(20))				
(a) Allocated Federal Election Activity				
(from Schedule H6)				
(i) Federal Share	0.00	0.00		
(ii) "Levin" Share	0.00	0.00		
(b) Federal Election Activity Paid Entirely				
With Federal Funds	0.00	0.00		
(c) Total Federal Election Activity (add	0.00	0.00		
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	, , ,	0.00		
Total Disbursements (add Lines 21(c), 22,				
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	130325.29	334775.79		
Total Federal Disbursements				
(subtract Line 21(a)(ii) and Line 30(a)(ii)				
from Line 31)	130325.29	334775.79		

### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	35953.68	251208.34
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
6. Net Contributions (other than loans) (subtract Line 34 from Line 33)	35953.68	251208.34
. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	325.29	2266.79
Offsets to Operating Expenditures (from Line 15, page 3)	325.29	2191.17
. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	75.62

Use separate schedule(s) for each category of the

FOF	PAGE	=	6	OF	41				
(che	ck only	or	ne)						
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**Detailed Summary Page** Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Patrick Durkin Date of Receipt Mailing Address 132 E. 72nd St 06 2012 City Zip Code State Transaction ID: 20328730 NY New York 10021-4273 Amount of Each Receipt this Period FEC ID number of contributing 2500.00 federal political committee. Name of Employer Occupation **Barclays Capital** Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mr. Thomas L. Kalaris Date of Receipt Mailing Address 280 Oak Ridge Avenue 09 2012 06 City State Zip Code Transaction ID: 20328736 NJ 07901-3229 Summit Amount of Each Receipt this Period FEC ID number of contributing 5000.00 federal political committee. Name of Employer Occupation Barclays Capital Inc. Chief Executive, Americas Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mr. Timothy C. Scheve Date of Receipt Mailing Address 410 South 21st Street 2012 09 07 City State Zip Code Transaction ID: 20329448 PΑ Philadelphia 19146-1239 Amount of Each Receipt this Period FEC ID number of contributing 3000.00 С federal political committee. Name of Employer Occupation President and CEO Janney Montgomery Scott LLC Receipt For: Aggregate Year-to-Date ▼ Primary General 3000.00 Other (specify)

10500.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using the	ne name and address of any political committee to	
NAME OF COMMITTEE (In Full) Securities Industry and Financ	ial Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial)  Mr. Kenneth E. Bentsen Jr.  Mailing Address 1101 New York Ave, NW  8th Floor  City  Washington  FEC ID number of contributing federal political committee.	State Zip Code DC 20005-4269	Date of Receipt  09 05 2012  Transaction ID : 20329451  Amount of Each Receipt this Period
Name of Employer  Securities Industry and Financial Mark  Receipt For:  Primary General  Other (specify) ▼	Occupation EVP, Public Policy and Advocacy  Aggregate Year-to-Date ▼  3269.95	
Full Name (Last, First, Middle Initial)  Ms. Lisa Bleier  Mailing Address 1101 New York Ave, NW 8th Floor  City  Washington  FEC ID number of contributing federal political committee.  Name of Employer Securities Industry and Financial Mark  Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4269  C  Occupation Managing Director  Aggregate Year-to-Date ▼  326.93	Date of Receipt  09 05 2012  Transaction ID: 20329452  Amount of Each Receipt this Period  19.23
Full Name (Last, First, Middle Initial)  Ms. Kyle Brandon  Mailing Address 120 Broadway  35th Floor  City  New York  FEC ID number of contributing federal political committee.  Name of Employer  Securities Industry and Financial Mark  Receipt For:  Primary  General  Other (specify)	State Zip Code NY 10271-3599  C  Occupation Managing Director, DOR  Aggregate Year-to-Date ▼  1613.80	Date of Receipt  09 05 2012  Transaction ID: 20329453  Amount of Each Receipt this Period  96.15
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	307.73
TOTAL This Period (last page this line numbe	r only)	

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Any information copied from such Reports and sor for commercial purposes, other than using the	Statements may not be sold or used by any pers e name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financi	al Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial)  Ms Kim Chamberlain		Date of Receipt
Mailing Address 120 Broadway		M M / D D / Y Y Y Y Y
35th Floor City	State Zip Code	09 05 2012
New York	NY 10271-3599	Transaction ID : 20329454  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director & Counsel, State Gov	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	692.00	
Full Name (Last, First, Middle Initial)  Ms. Cheryl Crispen		Date of Receipt
Mailing Address 1101 New York Ave, NW		09 05 2012
City	State Zip Code DC 20005-4213	Transaction ID : 20329455
Washington  EEC ID number of contributing		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	
Securities Industry and Financial Mark	Executive Vice President, Communicatio	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	, 1634.55	
Full Name (Last, First, Middle Initial)  C. Ms. Bradley Gaskins Edgell		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		09 05 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Washington	State Zip Code DC 20005-4269	Transaction ID : 20329456  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	576.50	
SUBTOTAL of Receipts This Page (optional)		173.15
TOTAL This Period (last page this line number	only)	

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Any information copied from such Reports and sor for commercial purposes, other than using the	Statements may not be sold or used by any perse name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financia	al Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial)  Tracy Eichler  Mailing Address, 120 Breathrey		Date of Receipt
Mailing Address 120 Broadway 35th Floor		09 05 2012 _
City 35th Floor	State Zip Code	09 05 2012 Transaction ID : 20329457
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer	Occupation	
Securities Industry and Financial Mark	Director	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	326.91	
Full Name (Last, First, Middle Initial)  Ms. Lisa Fues		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		09 05 2012
City Washington	State Zip Code DC 20005-4269	Transaction ID : 20329458  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer Securities Industry and Financial Mark	Occupation Vice President	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	250.01	
Full Name (Last, First, Middle Initial)  C. Marin E. Gibson		Date of Receipt
Mailing Address 120 Broadway 35th Floor		09 05 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City New York	State Zip Code NY 10271-3599	Transaction ID : 20329459  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	70.00
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director & Counsel	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	1190.02	
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	108.46
TOTAL This Period (last page this line number	only)	

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Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.			
NAME OF COMMITTEE (In Full) Securities Industry and Finance	ial Markets Association Political Ad	ction Committee			
Full Name (Last, First, Middle Initial)  Kent Christian		Date of Receipt			
Mailing Address 600 S Warson Road		09 04 2012			
City	State Zip Code	Transaction ID : 20334603			
Saint Louis	MO 63124-1255	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	5000.00			
Name of Employer	Occupation	1			
Wells Fargo Advisors	President				
Receipt For:	Aggregate Year-to-Date ▼	-			
Primary General Other (specify) ▼	Aggregate Tear-to-Date ▼				
Full Name (Last, First, Middle Initial)  Mrs. Alexandra Lebenthal	I	Date of Receipt			
Mailing Address 17 E 96th Street, 9A		09 24 2012			
City	State Zip Code	Transaction ID: 20363174			
New York	NY 10128-0783	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	2500.00			
Name of Employer	Occupation	1			
Lebenthal & Co., LLC	Chief Executive Officer				
Receipt For:	Aggregate Year-to-Date ▼	1			
Primary General Other (specify) ▼	2500.00	Contribution			
Full Name (Last, First, Middle Initial)		Date of Receipt			
Mailing Address 8523 Ardmore Ave		09 24 2012			
City	State Zip Code	Transaction ID: 20363393			
Wyndmoor	PA 19038-8454	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	1000.00			
Name of Employer	Occupation	†			
Janney Montgomery Scott LLC					
Receipt For:	Aggregate Year-to-Date ▼	1			
Primary General Other (specify) ▼	1000.00	Contribution			
SUBTOTAL of Receipts This Page (optional)		8500.00			
TOTAL This Period (last page this line numbe					

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Any information copied from such Reports and S or for commercial purposes, other than using the	tatements may not be sold or used by any person name and address of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full)		
Securities Industry and Financia	al Markets Association Political Ac	tion Committee
Full Name (Last, First, Middle Initial)  A. Mr. Scott Aschoff		Date of Receipt
Mailing Address 621 Chester Ave		09 24 2012
City	State Zip Code	Transaction ID: 20363394
Moorestown	NJ 08057-1901	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	
Janney Montgomery Scott LLC	Chief Operating Officer	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial)  3. Bryan Weadock		Date of Receipt
Mailing Address 111 E 80th Street		M = M / D = D / Y = Y = Y
Apt 8A	State Zin Code	09 24 2012
City New York	State Zip Code NY 10075-0352	Transaction ID : 20363395
<u> </u>	10070-0302	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer	Occupation	
Bank of America Merrill Lynch	Managing Director, Co-Head Global FICC	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	5000.00	Contribution
Full Name (Last, First, Middle Initial)  C. Mr. Kenneth E. Bentsen Jr.		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		09 19 2012
City	State Zip Code	Transaction ID: 20445907
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	192.35
Name of Employer	Occupation	
Securities Industry and Financial Mark	EVP, Public Policy and Advocacy	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	3462.30	
SUBTOTAL of Receipts This Page (optional)	<b>•</b>	5692.35
TOTAL This Period (last page this line number	only)	

	FOR LINE N	UMBER:	PAGE	12 OF
Use separate schedule(s)	(check only o	ne)		
for each category of the Detailed Summary Page	X 11a	11b	11c	12
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Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any pers ne name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Finance	ial Markets Association Political Ac	tion Committee
Full Name (Last, First, Middle Initial)  A. Ms. Lisa Bleier		Date of Receipt
Mailing Address 1101 New York Ave, NW		M = M / D = D / Y = Y = Y = Y
8th Floor City	State Zip Code	09 19 2012 Transaction ID: 20445908
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	346.16	
Full Name (Last, First, Middle Initial)  Ms. Kyle Brandon		Date of Receipt
Mailing Address 120 Broadway 35th Floor		09 19 2012
City New York	State Zip Code NY 10271-3599	Transaction ID : 20445909
<u> </u>	.021.0000	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director, DOR	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	1709.95	
Full Name (Last, First, Middle Initial)  C. Ms Kim Chamberlain	<u> </u>	Date of Receipt
Mailing Address 120 Broadway 35th Floor		09 19 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City New York	State Zip Code NY 10271-3599	Transaction ID : 20445910
FEC ID number of contributing		Amount of Each Receipt this Period
federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director & Counsel, State Gov	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	730.50	
SUBTOTAL of Receipts This Page (optional)		153.88
TOTAL This Period (last page this line number	r only)	

FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen Date of Receipt Mailing Address 1101 New York Ave, NW 19 2012 City Zip Code State Transaction ID: 20445911 DC Washington 20005-4213 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Name of Employer Occupation Executive Vice President, Communicatio Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 1730.70 Other (specify) Full Name (Last, First, Middle Initial) B. Ms. Bradley Gaskins Edgell Date of Receipt Mailing Address 1101 New York Ave, NW 8th Floor 09 2012 19 City State Zip Code Transaction ID: 20445912 DC Washington 20005-4269 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 615.00 Other (specify) Full Name (Last, First, Middle Initial) c. Tracy Eichler Date of Receipt Mailing Address 120 Broadway 09 19 2012 35th Floor City Zip Code State Transaction ID: 20445913 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 19.23 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Director Receipt For: Aggregate Year-to-Date ▼ Primary General 346.14 Other (specify) 153.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	13		14		15		16	;	17

NAME OF COMMITTEE (In Full)		
Securities Industry and Finance	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial)  Ms. Lisa Fues  Mailing Address 1101 New York Ave, NW		Date of Receipt
8th Floor City	State Zip Code	09 19 2012 Transaction ID : 20445914
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer	Occupation	
Securities Industry and Financial Mark	Vice President	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	269.24	
Full Name (Last, First, Middle Initial)  Marin E. Gibson		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor City	State Zip Code	09 19 2012
New York	NY 10271-3599	Transaction ID : 20445915  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	70.00
Name of Employer Securities Industry and Financial Mark	Occupation  Managing Director & Counsel	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 1260.02	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address		M = M / D = D / Y = Y = Y
City	State Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	
Name of Employer	Occupation	-
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼	
SUBTOTAL of Receipts This Page (optional)		89.23

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Use separate schedule(s) for each category of the Contribution copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions for for commercial purposes, other than using the name and address of any political committee or for commercial purposes, other than using the name and address of any political committee or soliciting contributions.    NAME OF COMMITTEE (in Full)   12   13   14   15   16   17   17   18   17   18   18   19   19   19   19   19   19	S	CHEDULE A (FEC Form 3X)			FOR LINE NUMBER: PAGE 15 OF 41					
Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of solicing contributions or for commercial purposes, other than using the name and address of any political committee to solicit conflictions for the purpose of solicing contributions or for commercial purposes, other than using the name and address of any political committee to solicit conflictions for the purpose of solicing contributions for for commercial purposes, other than using the name and address of any political committee to solicit conflictions for the purpose of solicing contributions for for the purpose of solicing contributions for for the purpose of solicing contributions and address of any political committee.    Name (Last, First, Middle Initia)		· ·								
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or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.  NAMLE OF COMMITTEE (in Full)  Securities Industry and Financial Markets Association Political Action Committee  Full Name (Last, First, Middle Initial)  A, Credit Suitses Securities (USA) Political Action Committee  Mailing Address 1201 F Street, NW Suite 450  City State Zip Code DC 20004  FEC ID number of contributing federal political committee.  Receipt For:  Primary General Occupation  Fill Name (Last, First, Middle Initial)  B. UBS Americas Fund for Better Government  Mailing Address 1285 Avenue of the Americas 1285 Avenue of the Americas 1285 Avenue of the Americas 1281 Avenue of the Americas 1285 Avenue of the Americas 1285 Avenue of the Americas 1286 Avenue of the Americas 1287 Avenue	_			, ,	1 10 110					
Securities Industry and Financial Markets Association Political Action Committee  Full Name (Last, First, Middle Initial)  A. Credit Suisse Securities (USA) Political Action Committee  Mailing Address 1201 F Street, NW Suite 450  City State Zip Code DC 20004  FEC ID number of contributing forderal political committee.  Name of Employer  Full Name (Last, First, Middle Initial)  B. UBS Americas Fund for Better Government  Mailing Address 1285 Averue of the Americas 14th Fibor State Zip Code NY 10019  FIC ID number of contributing federal political committee.  City State Zip Code NY 10019  FIC ID number of contributing federal political committee.  City State Zip Code NY 10019  FEC ID number of contributing federal political committee.  C Co0012245  Name of Employer  Primary General Octube V State Zip Code NY 10019  FULL Name (Last, First, Middle Initial)  C. Co0012245  Date of Receipt this Period  Contribution										
Full Name (Last, First, Middle Initial)  A. Credit Suisse Securities (USA) Political Action Committee  Mailing Address 1201 F Street, NW Suite 450  City State Zip Code DC 20004  Amount of Each Receipt this Period  FEC ID number of contributing federal political committee.  Name of Employer  Full Name (Last, First, Middle Initial)  PEC ID number of contributing federal political committee.  Name of Employer  Full Name (Last, First, Middle Initial)  Full Name (Last, First, Middle Initial)  Full Name (Last, First, Middle Initial)  First Name (Last, First, Middle Initial)  First Name (Last, First, Middle Initial)  Full Name (Last, First, Middle Initial)  Full Name (Last, First, Middle Initial)  First Name (Last, First, Middle Initial)  C C00012246  FEC ID number of contributing federal political committee.  Name of Employer  Occupation  Feculty State Zip Code  Aggregate Year-to-Date ▼  Outer (specify) ▼  Date of Receipt  Transaction ID: 20383167  Amount of Each Receipt this Period  Contribution  Contribution  Contribution  Contribution  Contribution  Contribution  Contribution  Aggregate Year-to-Date ▼  Other (specify) ▼  Cocupation  FEC ID number of contributing federal political committee.  Amount of Each Receipt this Period  FEC ID number of contributing federal political committee.  Aggregate Year-to-Date ▼  Occupation  FEC ID number of contributing federal political committee.  Aggregate Year-to-Date ▼  Occupation  FEC ID number of contributing federal political committee.  Aggregate Year-to-Date ▼  Occupation  FEC ID number of contributing federal political committee.  Aggregate Year-to-Date ▼  Occupation  Aggregate Year-to-Date ▼  Occupation  Aggregate Year-to-Date ▼  Occupation  Aggregate Year-to-Date ▼		, ,	l Market	s Association Political	Action Committee					
A. Credit Suisse Securities (USA) Political Action Committee  Mailing Address 1201 F Street, NW Suite 450  City State Zip Code DC 20004  Amount of Each Receipt this Period  FEC ID number of contributing federal political committee.  Name of Employer  Full Name (Last, First, Middle Initial)  FUI D number of contributing federal political committee.  Name of Employer  Suite Zip Code Amount of Each Receipt this Period  Contribution  Contribution  Contribution  Contribution  Date of Receipt  Amount of Each Receipt this Period  Contribution  Contribution  Date of Receipt  Amount of Each Receipt this Period  Contribution  Date of Receipt  Amount of Each Receipt this Period  FUI Name (Last, First, Middle Initial)  Contribution  Aggregate Year-to-Date ▼  Contribution  Aggregate Year-to-Date ▼  Contribution  Contribution  Contribution  Contribution  Contribution  Contribution		Coounties madely and i manda	iviaino		totion committee					
City Washington	Α.		I Action C	ommittee	Date of Receipt					
City Washington DC 20004  FEC ID number of contributing federal political committee.  Name of Employer    C Co0111559		Mailing Address 1201 F Street, NW Suite 450								
Mashington   DC   20004   Amount of Each Receipt this Period		City	State	Zip Code						
FEC ID number of contributing federal political committee.  Name of Employer    C   Coupation			DC							
Receipt For:		S S	C co	0111559						
Primary   General Other (specify) ▼		Name of Employer	Occupation	1						
Other (specify) ▼ 5000.00    Primary		Receipt For:	Aggregate	Year-to-Date ▼						
Full Name (Last, First, Middle Initial)  B. UBS Americas Fund for Better Government  Mailing Address 1285 Avenue of the Americas 14th Floor  City State Zip Code New York NY 10019  FEC ID number of contributing federal political committee.  Name of Employer  Cupation  Full Name (Last, First, Middle Initial)  C. Mailing Address  City State Zip Code  Amount of Each Receipt this Period  Contribution  Contribution  Contribution  Contribution  Contribution  Contribution  Contribution  Contribution  Aggregate Year-to-Date ▼  Full Name (Last, First, Middle Initial)  C. Mailing Address  City State Zip Code  Amount of Each Receipt this Period  FEC ID number of contributing federal political committee.  Name of Employer  Occupation  Receipt For: Primary General Other (specify) ▼  Aggregate Year-to-Date ▼  Aggregate Year-to-Date ▼  Aggregate Year-to-Date ▼			00 0		Contribution					
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Mailing Address 1285 Avenue of the Americas  14th Floor  City New York NY 10019  FEC ID number of contributing federal political committee.  Name of Employer  C. Co0012245  Receipt For: Primary General Other (specify) ▼  State Zip Code  Aggregate Year-to-Date ▼  Contribution  C. Contribution  C. Contribution  Contribution  C. Contribution	- R		rnment		Date of Receipt					
City State Zip Code New York NY 10019  FEC ID number of contributing federal political committee.  Name of Employer  Cocupation  Receipt For:  Other (specify) ▼  Committee  City State Zip Code  Amount of Each Receipt this Period  Contribution  Aggregate Year-to-Date ▼  Fec ID number of contributing federal political committee.  Name of Employer  Cocupation  Receipt For:  Primary General Other (specify) ▼  Aggregate Year-to-Date ▼  Aggregate Year-to-Date ▼  Primary General Other (specify) ▼	υ.		<u> </u>							
City New York NY 10019  FEC ID number of contributing federal political committee.  Name of Employer  Receipt For: Primary General Other (specify) ▼  City  State Zip Code NY 10019  Aggregate Year-to-Date ▼  Contribution  Aggregate Year-to-Date ▼  City State Zip Code  Amount of Each Receipt  Amount of Each Receipt  Amount of Each Receipt  Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.  Name of Employer    C   C   C   C   C   C   C   C   C		City		Zip Code						
Name of Employer    Coupation		New York	NY	10019	Amount of Each Receipt this Period					
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Other (specify) ▼  Full Name (Last, First, Middle Initial)  C.  Mailing Address  City  State Zip Code  Amount of Each Receipt this Period  FEC ID number of contributing federal political committee.  Name of Employer  Occupation  Receipt For:  Primary General  Other (specify) ▼  Aggregate Year-to-Date ▼		Primary General	Aggregate		Contribution					
C:  Mailing Address  City  State Zip Code  Amount of Each Receipt this Period  FEC ID number of contributing federal political committee.  Name of Employer  Occupation  Receipt For:  Primary General Other (specify) ▼  Aggregate Year-to-Date ▼		Other (specify) ▼		5000.00						
City  State Zip Code  Amount of Each Receipt this Period  FEC ID number of contributing federal political committee.  Name of Employer  Occupation  Receipt For:  Primary General Other (specify) ▼  Aggregate Year-to-Date ▼	c.				Date of Receipt					
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FEC ID number of contributing federal political committee.  Name of Employer  Occupation  Receipt For:  Primary General Other (specify) ▼  Aggregate Year-to-Date ▼		City	State	Zip Code	Amount of Each Receipt this Period					
Receipt For:  Primary General  Other (specify) ▼  Aggregate Year-to-Date ▼	3		С							
Primary General Other (specify) ▼		Name of Employer	Occupation	1						
Primary General Other (specify) ▼		Receipt For:	_							
10000.00			Ayyı eyale	TOUT-TO-DUIG ▼						
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TOTAL This Period (last page this line number only).....

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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS			Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 16 OF 41 (check only one)  11a				
	y information copied from such Reports and Sta for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	name and a	ddress of any political committee	to solicit contributions from such committee.				
	Securities Industry and Financia	l Markets	s Association Political <i>I</i>	Action Committee				
A.	Full Name (Last, First, Middle Initial) Securities Industry and Financial Mark	ets Associ	ation	Date of Receipt				
	Mailing Address 120 Broadway Floor 35	Ctata	7'n Code	09 28 2012				
	City New York	State NY	Zip Code 10271	Transaction ID : 20439817  Amount of Each Receipt this Period				
	FEC ID number of contributing federal political committee.	С		325.29				
	Name of Employer	Occupation						
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 2191.17	Re-Imbursement of Bank Fees				
В.	Full Name (Last, First, Middle Initial)  Mailing Address			Date of Receipt				
	City	State	Zip Code	Amount of Each Receipt this Period				
	FEC ID number of contributing federal political committee.	С						
	Name of Employer	Occupation	I					
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼					
<u> </u>	Full Name (Last, First, Middle Initial)			Date of Receipt				
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	City	State	Zip Code	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.		С						
	Name of Employer	Occupation						
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼					
s	UBTOTAL of Receipts This Page (optional)			325.29				

TOTAL This Period (last page this line number only).....

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SCHEDULE B (FEC Form 3X)						FOR LINE NUMBER: PAGE 17 OF						
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			Summary Page		21b 27	22 28a	2 2	3 8b	24		25 29	30
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or	for commercial purposes, other than using the name	ne and add	ress of any politi	cal comm	ittee to	solicit co	ntributi	ions f	from s	such (	commit	tee.
	NAME OF COMMITTEE (In Full)  Securities Industry and Financial M	larkota <i>i</i>	Accociation.	Dolitio	ما ۸ د	stion Co	nmm	ittor	^			
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Δ	Full Name (Last, First, Middle Initial) PNC Bank					Date o	f Diehi	ırcam	nant			
Λ.	PING BAHK					M		D   D	_	Υ	Y Y	Υ
	Mailing Address 1400 K Street, NW					09	J L	04		:	2012	
	City S	State	Zip Code			_						
	Washington	DC	20005			Trans	saction	ı ID :	2044	2564		
	Purpose of Disbursement Bank Fees			001	П	Amoun	t of Ea	ach D	)isbur	semei	nt this	Period
	Candidate Name			Catego	orv/		-	-	_		40	
	05			Тур			7	_		7	19	1.19
	Office Sought: House Disbursen Senate	nent For: Primary	General			Bank F	000					
	President	Other (spe	cify) 🔻			Banki	003					
_	State: District:											
R	Full Name (Last, First, Middle Initial) PNC Bank					Date o	f Diehı	ırcam	nant			
٠.	FING BAHK					M = M		D   D	_	Υ	YY	Υ
	Mailing Address 1400 K Street, NW						11	05	_		2012	
	City S Washington	State DC	Zip Code 20005			Trans	saction	ı ID :	2044	2565		
	Purpose of Disbursement		20003		_							
	Contribution  Candidate Name			001	_	Amoun	t of Ea	ach D	)isbur	semei	nt this	Period
	Candidate Name			Catego Type							13	4.10
	Office Sought: House Disbursen	nent For:										
		Primary Other (spe	General			Contrib	ution					
	State: District:	Other (spec	City)									
	Full Name (Last, First, Middle Initial)											
C.						Date o						
	Mailing Address					M = M	/	D   D	/	Y	Y	Y
	Oib.	Ptoto	Zip Code									
	City	State	Zip Code									
	Purpose of Disbursement											
	andidate Name			Catego		Amoun	t of Ea	ach D	)isbur:	seme	nt this	Period
	Office Sought: House Disbursen	nent For:		<b>31</b> .			7			7		
		Primary	General									
	State: District:	Other (spe	ciiy) ▼									
Г							-	-	_	_	_	_
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NAME OF COMMITTEE (In Full)			
Securities Industry and Financial M	arkets Association P	olitical Act	ion Committee
Full Name (Last, First, Middle Initial)			
A. Carper For Senate			Date of Disbursement
Mailing Address PO Box 2882			09 07 2012
,	tate Zip Code		Transaction ID : 20329422
· · · · · · · · · · · · · · · · · · ·	DE 19805		
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	4000.00
Sen. Thomas R. Carper  Office Sought: House Disbursem	ent For: 2012	Туре	100.00
Senate President	Primary General  Other (specify)		Contribution
State: DE District:			
Full Name (Last, First, Middle Initial)			Data of Dishursement
<sup>3</sup> · Friends Of Joe Baca			Date of Disbursement
Mailing Address 555 Capitol Mall, Suite 1425			09 17 2012
•	tate Zip Code		Transaction ID : 20345284
Sacramento Purpose of Disbursement	CA 95814		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	2000.00
Rep. Joe Baca		Туре	200.00
Senate	ent For: 2012  Primary General  Other (specify)		Contribution
Full Name (Last, First, Middle Initial)  C- John Carney For Congress			Date of Disbursement
Mailing Address PO Box 2162			09 17 2012
-			
Wilmington	tate Zip Code DE 19899		Transaction ID: 20348058
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name	7	Category/	1000.00
Rep. John C. Carney Jr.  Office Sought:  House Disbursem	ont For: 0040	Туре	1000.00
Senate President	ent For: 2012 Primary		Contribution
State: DE District: 00			
SUBTOTAL of Disbursements This Page (optional)		·····•	7000.00
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SCHEDULE B (FEC Form 3X)		FOR LINE I	NUMBER: PAGE 19 OF 41
ITEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only	
	for each category of the Detailed Summary Page	21b	22 🗙 23 🔲 24 📗 25 🖂 26
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NAME OF COMMITTEE (In Full)	, ,		
Securities Industry and Financial I	Markets Association F	Political Ac	tion Committee
Full Name (Last, First, Middle Initial)			
A. Crowley For Congress			Date of Disbursement
Mailing Address 84-56 Grand Avenue			09 17 2012
City	State Zip Code		Transaction ID: 20348170
Elmhurst Purpose of Disbursement	NY 11373		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	2000.00
Rep. Joseph Crowley		Туре	200.00
Senate President	ment For: 2012 Primary ☐ General Other (specify) ▼		Contribution
State: NY District: 07			
Full Name (Last, First, Middle Initial)			Data of Diskumanant
B. Texans For Henry Cuellar Congre	ssional Campaign		Date of Disbursement
Mailing Address 1519 Washington Street Suite 200			09 17 2012
City	State Zip Code		Transaction ID : 20348952
Laredo Purpose of Disbursement	TX 78042		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	2000.00
Rep. Henry Cuellar		Type	2000.00
Office Sought:    House   Disburse	ment For: 2012 Primary ☐ General Other (specify) ▼		Contribution
Full Name (Last, First, Middle Initial)			
C. Al Green For Congress			Date of Disbursement
Mailing Address Post Office Box 20174			09 17 2012
Mailing Address Post Office Box 20174			03 17 2012
City	State Zip Code		Transaction ID : 20348954
Houston	TX 77225		Transaction 15 : 200-000-
Purpose of Disbursement Contribution		011	
Candidate Name			Amount of Each Disbursement this Period
Rep. Al Green		Category/ Type	1000.00
•	ment For: 2012	.,,,,	
Senate President	Primary General Other (specify) ▼		Contribution
State: TX District: 09	· · · · · · · · · · · · · · · · · · ·		
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SCHEDULE B (FEC Form 3X)	Use separate schedule(s	FOR LINE		PAGE 20 OF 4
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or for commercial purposes, other than using the ha	une and address of any politi	icai commilitee to	SOUCH CONTIDUTIONS IT	om such committee.
Securities Industry and Financial	Markets Association	Political Ac	tion Committee	
Full Name (Last, First, Middle Initial)				
- Gillibrand For Senate			Date of Disburseme	
Mailing Address 236 Massachusetts Ave Ne			09 17	2012
Suite 110 City	State Zip Code			
Washington	DC 20002		Transaction ID: 2	0348960
Purpose of Disbursement				
Contribution		011	Amount of Each Dis	sbursement this Period
Candidate Name Sen. Kirsten E. Gillibrand		Category/		2500.00
	ement For: 2012	Туре		
Senate President	Primary		Contribution	
State: NY District:				
Full Name (Last, First, Middle Initial)				
Jim Himes For Congress			Date of Disburseme	
Mailing Address 857 Post Road, #312			09 / D D D 17	2012
City Fairfield	State Zip Code CT 06824		Transaction ID : 2	0348966
Purpose of Disbursement Contribution		011	Amount of Each Dis	sbursement this Period
Candidate Name		Category/		
Rep. James A. Himes		Type		2000.00
Office Sought:    House   Disburse	ement For: 2012 Primary ☐ General Other (specify) ▼		Contribution	
Full Name (Last, First, Middle Initial)				
<ul> <li>Hoyer For Congress</li> </ul>			Date of Disburseme	
Mailing Address 700 13th Street, Nw Suite 600			09 / 17	2012
City	State Zip Code		Troposeties ID 0	0240074
Washington	DC 20005		Transaction ID: 2	U34097 I
Purpose of Disbursement Contribution		011		
Candidate Name		011	Amount of Each Dis	sbursement this Period
Rep. Steny H. Hoyer		Category/ Type		5000.00
	ement For: 2012  Primary General  Other (specify)		Contribution	
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SCHEDULE B (FEC Form 3X)		FOR LINE N	UMBER: PAGE 21 OF 41
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	Detailed Summary Page	21b	22 🗙 23 24 25 26
		27	28a 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
$\Big angle$ Securities Industry and Financial M	arkets Association P	Political Act	ion Committee
Full Name (Last, First, Middle Initial)			
A. Steve Israel For Congress Committee	ee		Date of Disbursement
Mailing Address P.O. Box 777			09 17 2012
•	tate Zip Code		Transaction ID : 20348972
2001 1 4.11	NY 11729		Transaction ID . 20340972
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name	"	Category/	1000.00
Rep. Steve J. Israel		Туре	1000.00
Senate	ent For: 2012 Primary		Contribution
State: NY District: 02	Suiter (openity)		
Full Name (Last, First, Middle Initial)			
B. Jeffries For Congress			Date of Disbursement
Mailing Address 630 Washington Avenue			09 17 2012
Brooklyn	tate Zip Code NY 11238		Transaction ID: 20348973
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name	"	Category/	2000.00
Hakeem Jeffries		Туре	2000.00
Senate	ent For: 2012 Primary		Contribution
Full Name (Last, First, Middle Initial)			
C. Kind For Congress Committee			Date of Disbursement
Mailing Address 205 5th Avenue South			09 17 2012
•	tate Zip Code WI 54601		Transaction ID: 20348974
Purpose of Disbursement Contributions		011	
Candidate Name			Amount of Each Disbursement this Period
Rep. Ron Kind		Category/ Type	2000.00
•	ent For: 2012		
	Primary General		Contributions
	Other (specify) ▼		
State: WI District: 03	Other (specify)		
		<u> </u>	5000.00

SCHEDULE B (FEC Form 3X)	Use separate schedule(	FOR LINE (check only	
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	oncok only	22 X 23 24 25 26 29 30
Any information copied from such Reports and Staten or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)  Securities Industry and Financial M			
Full Name (Last, First, Middle Initial)			
Mailing Address P.O. Box 1530			Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Biscoe	State Zip Code NC 27209		Transaction ID: 20348978
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name Rep. Larry Kissell		Category/ Type	1000.00
	nent For: 2012  Primary General  Other (specify)	Турс	Contribution
Full Name (Last, First, Middle Initial)  Klobuchar For Minnesota 2012  Mailing Address PO Box 4146			Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
St Paul	State Zip Code MN 55104		Transaction ID: 20348980
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	2000.00
X Senate	nent For: 2012  Primary	Туре	Contribution
Full Name (Last, First, Middle Initial)  Maloney For Congress			Date of Disbursement
Mailing Address 49 East 92nd St			09 18 2012
City  New York  Purpose of Disbursement	State Zip Code NY 10128		Transaction ID: 20349998
Contribution  Candidate Name		011	Amount of Each Disbursement this Period
Rep. Carolyn B. Maloney		Category/ Type	2000.00
Office Sought: House Disburser Senate President	nent For: 2012 Primary		Contribution
State: NY District: 14			

SCHEDULE B (FEC Form 3X)		FOR LINE N	NUMBER: PAGE 23 OF 41
ITEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only	
	for each category of the Detailed Summary Page	21b	22 🗙 23 🔲 24 🔲 25 🖂 26
		27	28a 28b 28c 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
$\Big angle$ Securities Industry and Financial M	larkets Association P	olitical Act	ion Committee
Full Name (Last, First, Middle Initial)			
A. Friends Of Carolyn Mccarthy			Date of Disbursement
Mailing Address 151 Linden Road			09 18 2012
,	State Zip Code		Transaction ID: 20350002
Mineola	NY 11501		
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	2000.00
Rep. Carolyn McCarthy  Office Sought: House Disbursen		Туре	
Senate President	nent For: 2012  Primary		Contribution
State: NY District: 04			
Full Name (Last, First, Middle Initial)			Data of Diskumanant
B. Mike Mcintyre For Congress			Date of Disbursement
Mailing Address P.O. Box 1			09 18 2012
,	State Zip Code NC 28359		Transaction ID : 20350004
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	4000.00
Rep. Mike McIntyre		Type	1000.00
Senate	nent For: 2012  Primary General  Other (specify)		Contribution
Full Name (Last, First, Middle Initial)			
C. Friends for Gregory Meeks			Date of Disbursement
Mailing Address 219-10 South Conduit Avenue			09 18 2012
City	State Zip Code		Transaction ID : 20350011
Purpose of Disbursement	NY 11413		Transaction iD . 20330011
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	2000.00
Rep Gregory W. Meeks		Туре	2000.00
Senate	nent For: 2012 Primary		Contribution
30			
SUBTOTAL of Disbursements This Page (optional)		·····•	5000.00
TOTAL This Period (last page this line number only)			

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 24 OF 41
ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	s)   (check only	
	Detailed Summary Page		
		27	28a 28b 28c 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
Securities Industry and Financial M	larkets Association	n Political Ac	tion Committee
Full Name (Last, First, Middle Initial)			
A. Moore For Congress			Date of Disbursement
Mailing Address PO Box 16646			09 18 2012
City	State Zip Code		Transaction ID : 20350014
Milwaukee	WI 53216		Transaction ID . 20000014
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	2500.00
Rep. Gwendolynne Moore		Туре	2300.00
Senate President	nent For: 2012 Primary		Contribution
State: WI District: 04			
Full Name (Last, First, Middle Initial)	•••		Data of Dichumanant
B. Richard E Neal For Congress Com	imittee		Date of Disbursement
Mailing Address 76 Magnolia Terrace			09 18 2012
City	State Zip Code		Transaction ID : 20350017
Springfield	MA 01108		
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Rep. Richard E. Neal		Туре	1000.00
	nent For: 2012		
	Primary		Contribution
Senate President	Primary General		Contribution
Senate President State: MA District: 02	Primary General		Contribution  Date of Disbursement
Senate President State: MA District: 02  Full Name (Last, First, Middle Initial)	Primary General		
Senate President State: MA District: 02  Full Name (Last, First, Middle Initial)  C. Bill Owens For Congress  Mailing Address PO Box 1575	Primary ∑ General Other (specify) ▼		Date of Disbursement
Senate President State: MA District: 02  Full Name (Last, First, Middle Initial)  C. Bill Owens For Congress  Mailing Address PO Box 1575  City	Primary ☐ General Other (specify) ▼  State Zip Code		Date of Disbursement
Senate President State: MA District: 02  Full Name (Last, First, Middle Initial)  C. Bill Owens For Congress  Mailing Address PO Box 1575  City Plattsburgh Purpose of Disbursement	Primary ∑ General Other (specify) ▼		Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Senate President State: MA District: 02  Full Name (Last, First, Middle Initial)  C. Bill Owens For Congress  Mailing Address PO Box 1575  City Plattsburgh	Primary ☐ General Other (specify) ▼  State Zip Code	011	Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Senate President State: MA District: 02  Full Name (Last, First, Middle Initial)  C. Bill Owens For Congress  Mailing Address PO Box 1575  City Plattsburgh Purpose of Disbursement Contribution  Candidate Name	Primary ☐ General Other (specify) ▼  State Zip Code		Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Senate President State: MA District: 02  Full Name (Last, First, Middle Initial)  C. Bill Owens For Congress  Mailing Address PO Box 1575  City Plattsburgh Purpose of Disbursement Contribution  Candidate Name Rep. Bill Owens	Primary General Other (specify)   State Zip Code NY 12901	011 Category/ Type	Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Senate President State: MA District: 02  Full Name (Last, First, Middle Initial)  C. Bill Owens For Congress  Mailing Address PO Box 1575  City Plattsburgh Purpose of Disbursement Contribution  Candidate Name Rep. Bill Owens  Office Sought: House Disbursement	Primary ☐ General Other (specify) ▼  State Zip Code	Category/ Type	Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Senate President State: MA District: 02  Full Name (Last, First, Middle Initial)  C. Bill Owens For Congress  Mailing Address PO Box 1575  City Plattsburgh Purpose of Disbursement Contribution  Candidate Name Rep. Bill Owens  Office Sought: House Senate President State: NY District: 23	Primary	Category/ Type	Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Senate President State: MA District: 02  Full Name (Last, First, Middle Initial)  C. Bill Owens For Congress  Mailing Address PO Box 1575  City Plattsburgh Purpose of Disbursement Contribution  Candidate Name Rep. Bill Owens  Office Sought: House Senate President  Disburser	Primary	Category/ Type	Date of Disbursement  M M M / P B / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y

SCHEDULE B (FEC Form 3X)	Llos conorate cabadula(a)	FOR LINE		PAGE 25 OF 41
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one) 22 X 23	24 25 26
	Detailed Summary Page	27	28a 28b	28c 29 30b
Any information copied from such Reports and Staten	nents may not be sold or use	ed by any perso	on for the purpose of so	oliciting contributions
or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full)	la da ca Arriva	Dalid LA	(t O tr.	
$ \hspace{.05cm}  angle$ Securities Industry and Financial M	arkets Association	Political Ac	tion Committee	
Full Name (Last, First, Middle Initial)				
A. Perlmutter For Congress			Date of Disbursemer	t
Mailing Address 3440 Youngfield Street			09 18	2012
#264				
•	State Zip Code CO 80033		Transaction ID: 20	350025
Wheat Ridge Purpose of Disbursement	CO 80033			
Contribution		011	Amount of Each Disk	oursement this Period
Candidate Name		Category/		1500.00
Rep. Edwin Perlmutter  Office Sought:	nent For: 2012	Type		1300.00
Office Sought: House Disburser Senate	Primary X General		Contribution	
President	Other (specify)		Contribution	
State: CO District: 07				
Full Name (Last, First, Middle Initial)			D ( D: )	
B. David Scott For Congress			Date of Disbursemer	
Mailing Address P.O. Box 960821			09 18	2012
City S Riverdale	State Zip Code GA 30296		Transaction ID: 20	350028
Purpose of Disbursement	30290			
Contribution		011	Amount of Each Disk	oursement this Period
Candidate Name		Category/		1000.00
Rep. David Albert Scott  Office Sought:  House Disburser	nent For: 2012	Туре	7	7
	Primary General		Contribution	
	Other (specify) ▼		Commodium	
State: GA District: 13				
Full Name (Last, First, Middle Initial)			Date of Disbursemer	t
c. Stabenow For Us Senate			M M / D D	/ Y Y Y Y
Mailing Address P.O. Box 4945			09 18	2012
City	State Zip Code			
City S East Lansing	State Zip Code MI 48826		Transaction ID: 20	350034
Purpose of Disbursement				
Contribution  Candidate Name		011	Amount of Each Disk	oursement this Period
Sen. Debbie Stabenow		Category/ Type		2500.00
	nent For: 2012	Турс		
X Senate	Primary Seneral		Contribution	
President	Other (specify) ▼			
State: MI District:				
SUBTOTAL of Disbursements This Page (optional)				5000.00
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TOTAL This Period (last page this line number only)				7

SCHEDULE B (FEC Form 3X)		EOD LINE	NUMBER: PAGE 26 OF 41				
ITEMIZED DISBURSEMENTS	Use separate schedule(s	\	OR LINE NUMBER: PAGE 26 OF 4 heck only one)				
	for each category of the Detailed Summary Page	21b	22 🗙 23 🔲 24 🔲 25 📉 26				
	Dotalica Guillinary Fage	27	28a 28b 28c 29 30				
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or for commercial purposes, other than using the na	me and address of any polit	ical committee to	solicit contributions from such committee.				
NAME OF COMMITTEE (In Full)							
Securities Industry and Financial I	Markets Association	Political Ac	tion Committee				
Full Name (Last, First, Middle Initial)							
A. Judy Biggert For Congress			Date of Disbursement				
dudy biggett for congress			M M / D D / Y Y Y Y				
Mailing Address P.O. Box 4198			09 18 2012				
City	State Zip Code IL 60567		Transaction ID: 20350037				
Naperville Purpose of Disbursement	IL 60567						
Contribution		011	Amount of Each Disbursement this Period				
Candidate Name		Category/					
Rep. Judy Biggert		Type	2000.00				
Office Sought: House Disburse	ment For: 2012						
Senate	Primary Seneral		Contribution				
President President	Other (specify) ▼						
State: IL District: 13							
Full Name (Last, First, Middle Initial)  B. Brady For Congress			Date of Disbursement				
B. Brady For Congress							
Mailing Address P.O. Box 8277			09 18 2012				
1.0. Box 0211							
City	State Zip Code		Transaction ID : 20350045				
The Woodlands	TX 77387		Transaction 15 : 20000040				
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period				
Candidate Name			Amount of Each Disbursement this Feriod				
Rep. Kevin Patrick Brady		Category/ Type	1000.00				
	ment For: 2012	.,,,,					
Senate	Primary General		Contribution				
President	Other (specify) ▼						
State: TX District: 08							
Full Name (Last, First, Middle Initial)							
<sup>C.</sup> Vern Buchanan For Congress			Date of Disbursement				
Mailing Address P. O. Box 48928			09 18 2012				
Mailing Address P. O. Box 46928			09 10 2012				
City	State Zip Code		Tanana (iau ID 00050040				
Sarasota	FL 34230		Transaction ID: 20350046				
Purpose of Disbursement Contribution							
		011	Amount of Each Disbursement this Period				
Candidate Name		Category/	1000.00				
Rep. Vern Buchanan  Office Sought:  House Disburse	ment For: 2012	Туре	7 7				
Senate Sought.	Primary General		Contribution				
President	Other (specify)		Contribution				
State: FL District: 13	, , , , , , , , , , , , , , , , , , ,						
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SUBTOTAL of Disbursements This Page (optional).			4000.00				
TOTAL This Period (last page this line number only	·)						

SCHEDULE B (FEC Form 3X)		FOR LINE I	NUMBER: PAGE 27 OF 41
ITEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only	
	for each category of the Detailed Summary Page	21b	22 🗶 23 24 25 26
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NAME OF COMMITTEE (In Full)			
Securities Industry and Financial N	Markets Association F	Political Act	tion Committee
Full Name (Last, First, Middle Initial)			
A. John Campbell For Congress			Date of Disbursement
Mailing Address 7700 Irvine Center Drive Suite 800			09 18 2012
City	State Zip Code		Transaction ID : 20350053
Irvine	CA 92618		Transaction iD . 20330033
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	2000.00
Rep. John Campbell		Type	2000.00
Senate President	ment For: 2012 Primary		Contribution
State: CA District: 48			
Full Name (Last, First, Middle Initial)			
B. Dave Camp For Congress			Date of Disbursement
Mailing Address 5915 Eastman Avenue Suite 100			09 18 2012
Midland	State Zip Code MI 48640		Transaction ID: 20350055
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	5000.00
Rep. David Lee Camp		Type	3000.00
Office Sought:    House   Disburse	ment For: 2012 Primary		Contribution
Full Name (Last, First, Middle Initial)			
C. Cantor For Congress			Date of Disbursement
Mailing Address P.O. Box 17813			09 18 2012
City Richmond	State Zip Code VA 23226		Transaction ID : 20350060
Purpose of Disbursement	VA 23220		
Contribution		011	
Contribution		011	Amount of Each Disbursement this Period
Contribution  Candidate Name		Category/	Amount of Each Disbursement this Period 2500.00
Contribution  Candidate Name  Rep. Eric I. Cantor	ment For: 2012		
Contribution  Candidate Name  Rep. Eric I. Cantor  Office Sought:  House Senate President  Disburse	ment For: 2012 Primary	Category/	
Contribution  Candidate Name  Rep. Eric I. Cantor  Office Sought:  House Senate  Disburse	Primary General	Category/	2500.00
Contribution  Candidate Name  Rep. Eric I. Cantor  Office Sought:  House Senate President  Disburse	Primary	Category/ Type	2500.00

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S	CHEDULE B (FEC Form 3X)			FOR LINE	NUMBER:	PAGE 28 OF 41
IT	EMIZED DISBURSEMENTS		arate schedule(s) category of the	(check only	one)	
			Summary Page	21b		23 24 25 26 28b 28c 29 30b
<u> </u>				27		
	y information copied from such Reports and Staten for commercial purposes, other than using the nam					
	NAME OF COMMITTEE (In Full)					
	Securities Industry and Financial M	larkets	Association	Political Ac	tion Comn	nittee
$\overline{}$	Full Name (Last, First, Middle Initial)					
Α.	Shelley Moore Capito For Congres	S			Date of Dist	bursement
	Mailing Address P.O. Box 11519				09	18 2012
	,	State	Zip Code		Transactio	on ID : 20350062
	Charleston Purpose of Disbursement	WV	25339			
	Contribution			011	Amount of E	Each Disbursement this Period
	Candidate Name  Pop. Shollov Moore Capito			Category/		5000.00
	Rep. Shelley Moore Capito  Office Sought:  House Disbursen	nent For:	2012	Туре		, , , , , , , , , , , , , , , , , , , ,
	Senate President	Primary Other (spe	X General		Contribution	
_	State: WV District: 02					
_	Full Name (Last, First, Middle Initial)				D : (D:)	
В.	Conaway For Congress				Date of Dist	bursement
	Mailing Address PO Box 51272				09	18 2012
	Midland	State TX	Zip Code 79710		Transactio	on ID : 20350063
	Purpose of Disbursement Contribution			011	Amount of E	Each Disbursement this Period
	Candidate Name			Category/		2000.00
	Rep. Michael K. Conaway			Туре		2000.00
	Senate	nent For: Primary Other (spe	<b>X</b> General		Contribution	
_	Full Name (Last, First, Middle Initial)				Date of Disl	hursament
Ο.	Dold For Congress				M M /	
	Mailing Address PO Box 8145				09	18 2012
	City S Northfield	State IL	Zip Code 60093		Transactio	on ID : 20350064
	Purpose of Disbursement Contribution		00033	044		
	Candidate Name			011	Amount of E	Each Disbursement this Period
	Rep. Robert J. Dold			Category/ Type		5000.00
		nent For:	2012	туре		
	Senate President	Primary Other (spe	X General		Contribution	
	State: IL District: 10					
s	UBTOTAL of Disbursements This Page (optional)			······		12000.00
	OTAL This Period (last page this line number only)			·····•		

SCHEDULE B (FEC Form 3X)	Llea caparata cabadula(a)	NUMBER: PAGE 29 OF 41	
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only 21b 27	one) 22 X 23 24 25 26 28a 28b 28c 29 36
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NAME OF COMMITTEE (In Full)			
Securities Industry and Financial M	arkets Association	Political Ac	tion Committee
Full Name (Last, First, Middle Initial)			
A. Steve Fincher For Congress			Date of Disbursement
Mailing Address PO Box 11153			09 18 2012
•	State Zip Code		Transaction ID : 20350065
• • • • • • • • • • • • • • • • • • • •	TN 38308		
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Rep. Stephen Lee Fincher		Type	1000.00
Senate President	nent For: 2012  Primary		Contribution
State: TN District: 08			
Full Name (Last, First, Middle Initial)			Data of Dishuman mant
3. Fitzpatrick For Congress			Date of Disbursement
Mailing Address 115 North Broad Street			09 18 2012
•	State Zip Code PA 18901		Transaction ID: 20350066
Purpose of Disbursement Contribution	10901		
Candidate Name		011	Amount of Each Disbursement this Period
		Category/	1000.00
Rep. Michael Fitzpatrick  Office Sought:  House Disbursem	nent For: 2012	Туре	
Senate	Primary		Contribution
Full Name (Last, First, Middle Initial)  - Scott Garrett for Congress			Date of Disbursement
Mailing Address P.O. Box 905			09 18 2012
City	State Zip Code		
Newton	NJ 07860		Transaction ID: 20350067
Purpose of Disbursement Contribution		044	
Candidate Name		011	Amount of Each Disbursement this Period
Rep. Scott Garrett		Category/ Type	1000.00
	nent For: 2012	туре	
	Primary General		Contribution
	Other (specify) ▼		
State: NJ District: 05			
SUBTOTAL of Disbursements This Page (optional)		·····	3000.00
TOTAL This Period (last page this line number only).			

S	CHEDULE B (FEC Form 3X)			FOR LINE	NUMBER: PAGE 30 OF 4°	1
T	EMIZED DISBURSEMENTS		ate schedule(s)	(check only		
			ategory of the ummary Page	21b	22 🔀 23 24 25 26	6
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	ny information copied from such Reports and Statem					
or	for commercial purposes, other than using the nam	e and addres	ss of any political	committee to	solicit contributions from such committee.	
	NAME OF COMMITTEE (In Full)					
/	Securities Industry and Financial M	arkets As	ssociation P	olitical Ac	tion Committee	
	Full Name (Last, First, Middle Initial)					
Α.	Jim Gerlach For Congress Committee	tee			Date of Disbursement	
	Mailing Address PO Box 87				09 19 2012	
	City	State	Zip Code		Towns at law ID 00050040	_
	Uwchland	PA	19480		Transaction ID: 20352642	
	Purpose of Disbursement Contribution			011	Amount of Each Disbursement this Period	
	Candidate Name			Category/		1
	Rep. James W. Gerlach			Type	1000.00	J
	Office Sought: House Disburser	nent For: 20	12			
		Primary	General		Contribution	
		Other (specif	y) <b>▼</b>			
B	Full Name (Last, First, Middle Initial)  Kay Granger Campaign Fund				Date of Disbursement	
٠.	Ray Granger Campaign Fund				M M / D D / Y Y Y Y	
	Mailing Address 715 Jones Street, Suite 101				09 19 2012	
	0111	N - 1 -	7'- 0-1-			
	,		Zip Code		Transaction ID: 20352644	
	Fort Worth	TX				
	Fort Worth Purpose of Disbursement	TX	76102			
		TX	76102	011	Amount of Each Disbursement this Period	
	Purpose of Disbursement Contribution Candidate Name	TX	[			1
	Purpose of Disbursement Contribution Candidate Name Rep. Kay Granger			011 Category/ Type	Amount of Each Disbursement this Period 1000.00	]
	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought: House Disbursem	nent For: 20	12	Category/		]
	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:    House   Disbursement   Senate   Senate   Disbursement   Senate   S	nent For: 20 Primary	12 General	Category/		]
	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:    House   Disbursement   Senate   President   Pres	nent For: 20	12 General	Category/	1000.00	]
	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:    House   Disbursement   President   P	nent For: 20 Primary	12 General	Category/	1000.00	]
	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought: House Senate President State: TX District: 12  Full Name (Last, First, Middle Initial)	nent For: 20 Primary	12 General	Category/	1000.00 Contribution	]
<u> </u>	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:    House   Disbursement   President   P	nent For: 20 Primary	12 General	Category/	1000.00  Contribution  Date of Disbursement	]
— С.	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought: House Senate President State: TX District: 12  Full Name (Last, First, Middle Initial)	nent For: 20 Primary	12 General	Category/	1000.00 Contribution	]
c.	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:    House   Disbursement   Senate   President   State: TX   District: 12  Full Name (Last, First, Middle Initial)  Michael Grimm For Congress  Mailing Address PO Box 61806	nent For: 20 Primary Other (specif	12 General  y)	Category/	1000.00  Contribution  Date of Disbursement	]
с.	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:    House   Disbursement	nent For: 20 Primary Other (specif	12 General  y) ▼  Zip Code	Category/	1000.00  Contribution  Date of Disbursement	]
c.	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:    House   Disbursement	nent For: 20 Primary Other (specif	12 General  y)	Category/	Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	]
<b>C</b> .	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:    House   Disbursement	nent For: 20 Primary Other (specif	12 General  y) ▼  Zip Code	Category/	Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	]
<b>C</b> .	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:    House   Disbursement	nent For: 20 Primary Other (specif	General  Zip Code 10306	Category/ Type	Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	]
c.	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:  Senate President State: TX District: 12  Full Name (Last, First, Middle Initial)  Michael Grimm For Congress  Mailing Address PO Box 61806  City Staten Island Purpose of Disbursement Contribution  Candidate Name  Rep. Michael G. Grimm	nent For: 20 Primary Other (specif	General  Zip Code 10306	Category/ Type	Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	]
C.	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:  State: TX  District: 12  Full Name (Last, First, Middle Initial)  Michael Grimm For Congress  Mailing Address PO Box 61806  City  Staten Island  Purpose of Disbursement Contribution  Candidate Name  Rep. Michael G. Grimm  Office Sought:  House  Disbursement	nent For: 20 Primary Other (specif	Tip Code 10306	Category/ Type  011  Category/	Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	]
C.	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:    House   Senate   President	nent For: 20 Primary Other (specif	Zip Code 10306  12  Zip General	Category/ Type  011  Category/	Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	]
c.	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:    House   Senate   President	nent For: 20 Primary Other (specif	Zip Code 10306  12  Zip General	Category/ Type  011  Category/	Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	]
C.	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:    House   Senate   President	nent For: 20 Primary Other (specif	Zip Code 10306  12  Zip General	Category/ Type  011  Category/	Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	]
C.	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:    House   Senate   President	nent For: 20 Primary Other (specif	Zip Code 10306  12  General  y)   General	Category/ Type  011  Category/ Type	Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	]
	Purpose of Disbursement Contribution  Candidate Name  Rep. Kay Granger  Office Sought:  State: TX District: 12  Full Name (Last, First, Middle Initial)  Michael Grimm For Congress  Mailing Address PO Box 61806  City Staten Island  Purpose of Disbursement Contribution  Candidate Name  Rep. Michael G. Grimm  Office Sought:  House Senate President State: NY District: 13	nent For: 20 Primary Other (specif	Zip Code 10306  12  General  y)   General  y)   General	Category/ Type  011  Category/ Type	Contribution  Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	]

SCHEDULE B (FEC Form 3X)			FOR LINE	NUMBER:		PAG	E 31	OF	41
TEMIZED DISBURSEMENTS		rate schedule(s) category of the	(check only	one)		_			
		Summary Page	21b	22	<b>X</b> 23	24	25		26
			27	28a	28b	28c	29		30b
Any information copied from such Reports and States									3
or for commercial purposes, other than using the nar	ne and addre	ess of any politica	al committee to	solicit cor	tributions	from such	n comm	ittee.	
NAME OF COMMITTEE (In Full)									
Securities Industry and Financial I	/larkets A	Association F	Political Ac	tion Co	mmitte	Э			
/									
Full Name (Last, First, Middle Initial)				Data of	Dialaaa				
A. Hatch Election Committee				Date of	Disbursen	nent			
Moiling Address B.O. Bay 4400				09	/ 0 10	) / Y	2012	Y	
Mailing Address P.O. Box 1480				09	19	_	2012		
City	State	Zip Code							
Washington	DC	20013		Trans	action ID :	2035264	8		
Purpose of Disbursement									
Contribution			011	Amount	of Each D	isbursen	ent this	Perio	od
Candidate Name			Category/			-		-	
Sen. Orrin G. Hatch			Type			7	400	00.00	
Office Sought: House Disburse	ment For: 2	012							
	Primary	<b>X</b> General		Contribu	ition				
President	Other (spec	cify) 🔻							
State: UT District:									
Full Name (Last, First, Middle Initial)									
B. Friends Of Nan Hayworth				Date of	Disbursen	nent			
				M = M	/ D = 0	/ Y	YY	■ Y	
Mailing Address P.O. Box 188				09	19	╛┕	2012		
0"	0	7: 0 1							
	State	Zip Code		Trans	action ID :	2035265	0		
Carmel	State NY	Zip Code 10512		Trans	action ID :	2035265	0		
•			011		action ID:			s Perio	od
Carmel Purpose of Disbursement								s Perio	od
Carmel Purpose of Disbursement Contribution Candidate Name			Category/				nent this	9 Perio	od
Carmel Purpose of Disbursement Contribution Candidate Name Rep. Nan Hayworth	NY	10512					nent this	-	od
Carmel Purpose of Disbursement Contribution Candidate Name Rep. Nan Hayworth		10512	Category/	Amount	of Each [		nent this	-	od
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Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  House Senate  Disburser	ment For: 2	10512 2012 \times General	Category/	Amount	of Each [		nent this	-	od
Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  House Senate President  Disburse	ment For: 2	10512 2012 \times General	Category/	Amount	of Each [		nent this	-	od
Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  Senate President  State: NY District: 19  Full Name (Last, First, Middle Initial)	ment For: 2	10512 2012 \times General	Category/	Amount	of Each [	Disbursem	nent this	-	od
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Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  House Senate President State: NY District: 19  Full Name (Last, First, Middle Initial)  C. Huizenga For Congress  Mailing Address 441 Williams Court	ment For: 2 Primary Other (spec	10512 2012	Category/	Amount Contribu	of Each Distriction	Disbursem	nent this	00.00	od
Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  Senate President State: NY District: 19  Full Name (Last, First, Middle Initial)  C. Huizenga For Congress  Mailing Address 441 Williams Court	ment For: 2 Primary Other (spec	10512  2012  ☐ General sify) ▼	Category/	Contribution Date of 09	of Each Distriction	nent	100 y y 2012	00.00	od
Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  House Senate President State: NY District: 19  Full Name (Last, First, Middle Initial)  C. Huizenga For Congress  Mailing Address 441 Williams Court  City Zeeland	ment For: 2 Primary Other (spec	10512 2012	Category/	Contribution Date of 09	of Each Dution	nent	100 y y 2012	00.00	od
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Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  Senate President State: NY District: 19  Full Name (Last, First, Middle Initial)  C. Huizenga For Congress  Mailing Address 441 Williams Court  City Zeeland Purpose of Disbursement Contribution	ment For: 2 Primary Other (spec	10512  2012  ☐ General sify) ▼	Category/ Type	Contribution Date of Management M	of Each Dution	nent Y	100 2012	00.00 Y	
Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  Senate President State: NY District: 19  Full Name (Last, First, Middle Initial)  C. Huizenga For Congress  Mailing Address 441 Williams Court  City Zeeland Purpose of Disbursement Contribution  Candidate Name	ment For: 2 Primary Other (spec	10512  2012  ☐ General sify) ▼	Category/ Type  011  Category/	Contribution Date of Management M	of Each Dution  Disbursen  19	nent Y	100 Y Y 2012	00.00 Y	
Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  House Senate President State: NY District: 19  Full Name (Last, First, Middle Initial)  C. Huizenga For Congress  Mailing Address 441 Williams Court  City Zeeland Purpose of Disbursement Contribution  Candidate Name  Rep. Bill Huizenga	ment For: 2 Primary Other (spec	2012	Category/ Type	Contribution Date of Management M	of Each Dution  Disbursen  19	nent Y	100 Y Y 2012	Y Perio	
Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  House Senate President State: NY District: 19  Full Name (Last, First, Middle Initial)  C. Huizenga For Congress  Mailing Address 441 Williams Court  City Zeeland Purpose of Disbursement Contribution  Candidate Name  Rep. Bill Huizenga	ment For: 2 Primary Other (spec	2012	Category/ Type  011  Category/	Amount  Contribut  Date of 09  Trans  Amount	of Each Dution  Disbursen  19  action ID:	nent Y	100 Y Y 2012	Y Perio	
Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  Senate President State: NY District: 19  Full Name (Last, First, Middle Initial)  C. Huizenga For Congress  Mailing Address 441 Williams Court  City Zeeland Purpose of Disbursement Contribution  Candidate Name  Rep. Bill Huizenga  Office Sought:  House  Disburse	ment For: 2 Primary Other (spec	2012  Control  Zip Code 49464  Control  General	Category/ Type  011  Category/	Contribution Date of Management M	of Each Dution  Disbursen  19  action ID:	nent Y	100 Y Y 2012	Y Perio	
Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  Senate President State: NY  Full Name (Last, First, Middle Initial)  C. Huizenga For Congress  Mailing Address 441 Williams Court  City Zeeland Purpose of Disbursement Contribution  Candidate Name  Rep. Bill Huizenga  Office Sought:  House Senate  Disburse  Disburse	ment For: 2 Primary Other (spec	2012  Control  Zip Code 49464  Control  General	Category/ Type  011  Category/	Amount  Contribut  Date of 09  Trans  Amount	of Each Dution  Disbursen  19  action ID:	nent Y	100 Y Y 2012	Y Perio	
Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  Senate President State: NY District: 19  Full Name (Last, First, Middle Initial)  C. Huizenga For Congress  Mailing Address 441 Williams Court  City Zeeland Purpose of Disbursement Contribution  Candidate Name  Rep. Bill Huizenga  Office Sought:  House Senate President  Disburse	ment For: 2 Primary Other (spec	2012  Control  Zip Code 49464  Control  General	Category/ Type  011  Category/	Amount  Contribut  Date of 09  Trans  Amount	of Each Dution  Disbursen  19  action ID:	nent Y	100 Y Y 2012	Y Perio	
Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  Senate President State: NY District: 19  Full Name (Last, First, Middle Initial)  C. Huizenga For Congress  Mailing Address 441 Williams Court  City Zeeland Purpose of Disbursement Contribution  Candidate Name  Rep. Bill Huizenga  Office Sought:  House Senate President State: MI District: 02	ment For: 2 Primary Other (spec	2012 General Sify) ▼  Zip Code 49464  0012 General Sify) ▼	Category/ Type  011  Category/ Type	Amount  Contribut  Date of 09  Trans  Amount	of Each Dution  Disbursen  19  action ID:	nent Y	2012 4	Y Perio	
Carmel Purpose of Disbursement Contribution  Candidate Name  Rep. Nan Hayworth  Office Sought:  Senate President State: NY District: 19  Full Name (Last, First, Middle Initial)  C. Huizenga For Congress  Mailing Address 441 Williams Court  City Zeeland Purpose of Disbursement Contribution  Candidate Name  Rep. Bill Huizenga  Office Sought:  House Senate President  Disburse	ment For: 2 Primary Other (spec	2012 General Sify) ▼  Zip Code 49464  0012 General Sify) ▼	Category/ Type  011  Category/ Type	Amount  Contribut  Date of 09  Trans  Amount	of Each Dution  Disbursen  19  action ID:	nent Y	2012 4	9 Perio	

S	CHEDULE B (FEC Form 3X)			FOR LINE	NUMBER:	PAGE 32 OF 41	
IT	ITEMIZED DISBURSEMENTS		Use separate schedule(s) for each category of the		one)		
			Summary Page	21b		23 24 25 26 28b 28c 29 30b	
·		<u> </u>		27			
Ar or	ny information copied from such Reports and Statem for commercial purposes, other than using the name	nents may ne and add	not be sold or us dress of any polition	ed by any perso al committee to	on for the purpo solicit contribut	se of soliciting contributions ions from such committee.	
	NAME OF COMMITTEE (In Full)						
	Securities Industry and Financial M	larkets	Association	Political Ac	tion Comm	ittee	
_	Full Name (Last, First, Middle Initial)				5		
Α.	Kline For Congress				Date of Disb		
	Mailing Address 101 W Burnsville Pkwy Suite 104				09	19 2012	
	City	State	Zip Code		Turneration	- ID - 20252005	
	24	MN	55337		Transaction	n ID : 20352685	
	Purpose of Disbursement Contribution			011	Amount of Ea	ach Disbursement this Period	
	Candidate Name			Category/		2500.00	
	Rep. John P. Kline			Type		2500.00	
	Senate President	nent For: Primary Other (spe	X General		Contribution		
_	State: MN District: 02						
D	Full Name (Last, First, Middle Initial)				Data of Diab	uraamant	
О.	Lucas For Congress				Date of Disb		
	Mailing Address PO Box 1726	09 19 2012					
	Oklahoma City	State OK	Zip Code 73101		Transaction	n ID : 20352687	
	Purpose of Disbursement Contribution			011	Amount of Ea	ach Disbursement this Period	
	Candidate Name			Category/		3500.00	
	Rep. Frank D. Lucas			Type	,	3300.00	
	Senate	nent For: Primary Other (spe	X General		Contribution		
C.	Full Name (Last, First, Middle Initial) Blaine For Congress 2012			Date of Disb	ursement		
	Mailing Address PO Box 125				09	19 2012	
	•	State MO	Zip Code 65043		Transaction	n ID : 20352689	
	Purpose of Disbursement						
				011	Amount of Each Disbursement this Period		
	Candidate Name Rep. Blaine Luetkemeyer			Category/		1000.00	
	•	nent For:	2012	Туре			
	Senate President	Primary Other (spe	X General		Contributions		
_	State: MO District: 09						
5	SUBTOTAL of Disbursements This Page (optional)			·····		7000.00	
1	OTAL This Period (last page this line number only)						

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ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only 21b 27	one)  22 X 23 24 25 26  28a 28b 28c 29 30	
Any information copied from such Reports and Staten or for commercial purposes, other than using the name	nents may not be sold or use ne and address of any politica	ed by any perso al committee to	on for the purpose of soliciting contributions solicit contributions from such committee.	
NAME OF COMMITTEE (In Full)				
Securities Industry and Financial M	larkets Association F	Political Act	tion Committee	
Full Name (Last, First, Middle Initial)				
4. Kevin Mccarthy For Congress		Date of Disbursement		
Mailing Address PO Box 12667			09 19 2012	
•	State Zip Code		Transaction ID : 20352690	
Bakersfield	CA 93389			
Purpose of Disbursement Contributions		011	Amount of Each Disbursement this Period	
Candidate Name		Category/	4000.00	
Rep. Kevin McCarthy		Type	1000.00	
Senate President	nent For: 2012 Primary		Contributions	
State: CA District: 22				
Full Name (Last, First, Middle Initial)				
3. Mchenry For Congress			Date of Disbursement	
Mailing Address PO Box 1406			09 19 2012	
City	State Zip Code		Transaction ID : 20352701	
Hickory	NC 28603			
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period	
Candidate Name		Category/		
Rep. Patrick Timothy McHenry		Type	2000.00	
Senate	nent For: 2012 Primary		Contribution	
Full Name (Last, First, Middle Initial)  Gary Miller For Congress			Date of Disbursement	
Mailing Address 721 S Brea Canyon Rd Ste 7		09 19 2012		
City	State Zip Code			
	CA 91789		Transaction ID: 20352720	
Contribution		011	Amount of Each Disbursement this Period	
Candidate Name		Category/		
Rep. Gary G. Miller		Type	1000.00	
Senate President	nent For: 2012  Primary  General  Other (specify)		Contribution	
State: CA District: 42				
SUBTOTAL of Disbursements This Page (optional)		·····• <u>▶</u>	4000.00	
TOTAL This Period (last page this line number only)				

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 34 OF	41
TEMIZED DISBURSEMENTS	Use separate schedule for each category of the	(oricon oriny	,	
	Detailed Summary Pag		22 X 23 24 25 28a 28b 28c 29	26 30b
Any information copied from such Reports and Sta or for commercial purposes, other than using the n				
NAME OF COMMITTEE (In Full)				
Securities Industry and Financial	Markets Associatio	n Political Ac	tion Committee	
/	Warkoto / toooolatio	iii ondodi iio		
Full Name (Last, First, Middle Initial)				
A. Friends Of Erik Paulsen			Date of Disbursement	
Mailing Address P.O. Box 44369			09 19 2012	
250 Prairie Center Drive				
City	State Zip Code		Transaction ID : 20352721	
Eden Prairie	MN 55344		Transaction ib . 20332721	
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period	Ч
Candidate Name			Amount of Each Disbursement this Feno	<b>u</b>
Rep. Erik P. Paulsen		Category/ Type	1000.00	
	sement For: 2012	71	, ,	
Senate	Primary X Genera	ı	Contribution	
President	Other (specify) ▼			
State: MN District: 03				
Full Name (Last, First, Middle Initial)			Date of Disbursement	
B. Friends Of Bill Posey			M M / D D / Y Y Y Y	
Mailing Address P. O. Box 360877			09 19 2012	
City Melbourne	State Zip Code FL 32936		Transaction ID: 20352722	
Purpose of Disbursement	FL 32930			
Contribution		011	Amount of Each Disbursement this Period	d
Candidate Name		Category/	4000.00	П
Rep. Bill Posey		Type	1000.00	4
	sement For: 2012			
Senate President	Primary	'	Contribution	
State: FL District: 15	Other (specify)			
Full Name (Last, First, Middle Initial)				
C. Roskam For Congress Committe	e		Date of Disbursement	
			M M M / D D / Y Y Y Y Y	
Mailing Address P. O. Box 713			09 19 2012	
City	State Zip Code			
Wheaton	IL 60187		Transaction ID: 20352728	
Purpose of Disbursement				
Contribution				d
Candidate Name		011	Amount of Each Disbursement this Period	
		Category/	Amount of Each Disbursement this Period 3000.00	7
Rep. Peter Roskam	sement For: 2012			
Rep. Peter Roskam	sement For: 2012 Primary 🔀 Genera	Category/ Type	3000.00	
Rep. Peter Roskam  Office Sought: House Disburs	sement For: 2012 Primary ☐ Genera Other (specify) ▼	Category/ Type		
Rep. Peter Roskam  Office Sought: House Senate Disburs	Primary X Genera	Category/ Type	3000.00	]
Rep. Peter Roskam  Office Sought: House Senate President  State: IL District: 06	Primary	Category/ Type	3000.00 Contribution	_
Rep. Peter Roskam  Office Sought: House Senate President  President	Primary	Category/ Type	3000.00	
Rep. Peter Roskam  Office Sought: House Senate President  State: IL District: 06	Primary	Category/ Type	3000.00 Contribution	_ 

S	CHEDULE B (FEC Form 3X)			FOR LINE	NUMBER:	PAGE 35 OF 41
IT	EMIZED DISBURSEMENTS		arate schedule(s) category of the	(check only	one)	
			Summary Page	21b		23 24 25 26 28b 28c 29 30b
_		<u> </u>		27		
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	NAME OF COMMITTEE (In Full)			•		
	Securities Industry and Financial M	larkets	Association	Political Ac	tion Comn	nittee
^	Full Name (Last, First, Middle Initial)				Data of Dill	
A.	Royce Campaign Committee				Date of Disk	bursement
	Mailing Address PO Box 2525				09	19 2012
	City S	State	Zip Code		Transactio	on ID : 20352729
	Orange	CA	92859		Hansacuc	JII ID . 20352729
	Purpose of Disbursement Contribution			011	Amount of E	Each Disbursement this Period
	Candidate Name			Category/		2500.00
	Rep. Ed R. Royce			Type		2300.00
	Senate President	nent For: Primary Other (spe	X General		Contribution	
_	State: CA District: 40					
Б	Full Name (Last, First, Middle Initial)  Stivers For Congress				Data of Dial	
В.					Date of Disk	
	Mailing Address 4679 Winterset Drive		09 / 19 / 2012			
	Columbus	State OH	Zip Code 43220		Transactio	on ID : 20352730
	Purpose of Disbursement Contribution			011	Amount of E	Each Disbursement this Period
	Candidate Name			Category/		1000.00
	Rep. Steve Stivers	Type			1000.00	
	Senate	nent For: Primary Other (spe	<b>X</b> General		Contribution	
	Full Name (Last, First, Middle Initial)				Date of Disk	hursement
<b>J</b> .	Tiberi For Congress				M M /	D D / Y Y Y Y
	Mailing Address 2931 E Dublin Granville Road Suite 190				09	19 2012
	· ·	State OH	Zip Code 43231		Transactio	on ID : 20352731
	Purpose of Disbursement					
	Candidate Name			011	Amount of Each Disbursement this Pe	
				Category/ Type		2500.00
		nent For:	2012	7	1,400	
	Senate	Primary Other (spe	X General		Contribution	
	State: OH District: 12					
s	SUBTOTAL of Disbursements This Page (optional)			·····•		6000.00
1	OTAL This Period (last page this line number only)					

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)		FOR LINE NUMBER: PAGE 36 OF 4 (check only one)			
TEMIZED DISBURSEMENTS	for each	category of the Summary Page	(check only 21b 27	one) 22 28a	23 24 25 26 28b 28c 29 30	
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam						
NAME OF COMMITTEE (In Full)  Securities Industry and Financial M						
Full Name (Last, First, Middle Initial)						
Walberg For Congress				Date of Disbursement		
Mailing Address PO Box 1362				09	19 2012	
	State	Zip Code		Transac	etion ID : 20352733	
Jackson Purpose of Disbursement	MI	49204		Transac	, 1011 ID . 20002100	
Contribution			011	Amount o	of Each Disbursement this Period	
Candidate Name			Category/		1500.00	
Rep. Tim Walberg			Туре		1500.00	
Senate President	nent For: Primary Other (spe	X General		Contribution	no	
State: MI District: 07						
Full Name (Last, First, Middle Initial)  Walden For Congress				Date of D	Disbursement	
		M = M	/ D D / Y Y Y Y			
Mailing Address PO Box 1091		09	19 2012			
,	State OR	Zip Code 97031		Transac	ction ID : 20352749	
Purpose of Disbursement Contribution			011	Amount o	of Each Disbursement this Period	
Candidate Name			Category/		2.52.25	
Rep. Gregory P. Walden			Type		2000.00	
Office Sought: House Disbursem	nent For: Primary Other (spe	<b>X</b> General		Contribution	on	
Full Name (Last, First, Middle Initial)  Wicker for Senate					Disbursement	
Mailing Address P.O. Box 874				09	19 2012	
,	State MS	Zip Code 38802		Transac	ction ID : 20352755	
Purpose of Disbursement	•	00002				
Contribution			011 Amount of Each Disburs		of Each Disbursement this Period	
Candidate Name Sen. Roger Wicker			Category/		3000.00	
	nent For:	2012	Type		7 7 7	
X Senate	Primary Other (spe	<b>X</b> General		Contribution	no	
- MO District				-		
SUBTOTAL of Disbursements This Page (optional)			·····•		6500.00	
TOTAL This Period (last page this line number only).						

SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 37 OF 41			
ITEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only	NOMBETT.		
	for each category of the Detailed Summary Page	21b	22 🗙 23 24 25 26		
		27	28a 28b 28c 29 30		
Any information copied from such Reports and Stater					
or for commercial purposes, other than using the name	ne and address of any politic	cal committee to	solicit contributions from such committee.		
NAME OF COMMITTEE (In Full)					
Securities Industry and Financial M	larkets Association	Political Ac	tion Committee		
Full Name (Last, First, Middle Initial)					
A. Joe Wilson For Congress Committee	Date of Disbursement				
			M M / D D / Y Y Y Y		
Mailing Address PO Box 2145			09 19 2012		
City	State Zip Code				
West Columbia	SC 29171		Transaction ID: 20352763		
Purpose of Disbursement					
Contribution		011	Amount of Each Disbursement this Period		
Candidate Name		Category/	1000.00		
Rep. Joe Wilson  Office Sought:	nont For: 0040	Туре	1000.00		
	nent For: 2012 Primary X General		Contribution		
President	Other (specify)		Contribution		
State: SC District: 02	<del></del>				
Full Name (Last, First, Middle Initial)					
B. Rodney Alexander For Congress In	Date of Disbursement				
			M = M / D = D / Y = Y = Y		
Mailing Address 319 Nancy'S Road		09 19 2012			
- City 5					
Quitman	State Zip Code LA 71268		Transaction ID: 20352774		
Purpose of Disbursement					
Contribution		011	Amount of Each Disbursement this Period		
Candidate Name		Category/	500.00		
Mr. Rodney Alexander	ant For: 2012	Туре	000.00		
Office Sought: House Disburser Senate	nent For: 2012 Primary		0		
President	Other (specify)		Contribution		
State: LA District: 05	(- <del>(</del>				
Full Name (Last, First, Middle Initial)					
C. Friends Of Jeb Hensarling			Date of Disbursement		
Mailing Address PO Box 820504			09 28 2012		
City	State Zip Code				
City S Dallas	TX 75382		Transaction ID: 20368066		
Purpose of Disbursement	. 5552				
Contribution		011	Amount of Each Disbursement this Period		
Candidate Name		Category/	2500.00		
Rep. Jeb Hensarling		Type	2500.00		
	nent For: 2012				
Senate   President	Other (specify) —		Contribution		
State: TX District: 05	Other (specify) ▼				
State. 17 Biodiot. 03					
SUBTOTAL of Disbursements This Page (optional)			4000.00		
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SCHEDULE B (FEC Form 3X)		FOR LINE N	NUMBER: PAGE 38 OF 41
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)
	Detailed Summary Page	21b	22 🗙 23 24 25 26
		27	28a 28b 28c 29 30b
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NAME OF COMMITTEE (In Full)			
$\Big angle$ Securities Industry and Financial M	larkets Association P	Political Act	ion Committee
Full Name (Last, First, Middle Initial)			
A. Montanans For Tester			Date of Disbursement
Mailing Address PO Box 3171			09 28 2012
City	State Zip Code		Transaction ID : 20368073
Billings	MT 59103		Transaction ib . 20300073
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	3000.00
Sen. Jon Tester		Type	3000.00
Senate President	nent For: 2012 Primary		Contribution
State: MT District:			
Full Name (Last, First, Middle Initial)			Data of Diskumannant
B. Tim Walz For Us Congress			Date of Disbursement
Mailing Address PO Box 938			09 28 2012
Mankato	State Zip Code MN 56002		Transaction ID: 20368079
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Rep. Timothy J. Walz		Type	1000.00
Office Sought:    House   Disburser	nent For: 2012 Primary		Contribution
Full Name (Last, First, Middle Initial)			
C. Cleaver For Congress			Date of Disbursement
Mailing Address 4801 Main Street, Stuite 1000			09 28 2012
City Kansas City	State Zip Code MO 64112		Transaction ID: 20368083
Purpose of Disbursement Contribution		011	
Candidate Name			Amount of Each Disbursement this Period
Rep. Emanuel Cleaver II		Category/ Type	1000.00
•	ment For: 2012		
Senate President State: MO District: 05	Primary		Contribution
- MO BIOLITIC US			
SUBTOTAL of Disbursements This Page (optional)		······	5000.00
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	for each category of Detailed Summary P	the	21b			
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NAME OF COMMITTEE (In Full)  Securities Industry and Financial N	•					
Full Name (Last, First, Middle Initial)						
Clay Jr. For Congress  Mailing Address PO Box 4544			Date of Disbursement  09 28 2012			
St. Louis	State Zip Code MO 63108		Transaction ID: 20368084			
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period			
Candidate Name Rep. William Lacy Clay Jr.		Categor Type				
Senate President	ment For: 2012 Primary ∑ Gene Other (specify) ▼	eral	Contribution			
State: MO District: 01  Full Name (Last, First, Middle Initial)						
Bill Owens For Congress			Date of Disbursement			
Mailing Address PO Box 1575	09 28 2012					
Plattsburgh	State Zip Code NY 12901		Transaction ID : 20368090			
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period			
Candidate Name Rep. Bill Owens		Categor				
•	ment For: 2012 Primary	Type eral	Contribution			
Full Name (Last, First, Middle Initial)  Friends for Gregory Meeks			Date of Disbursement			
Mailing Address 219-10 South Conduit Avenue	09 28 2012					
City Springfield Garden Purpose of Disbursement	State Zip Code NY 11413		Transaction ID : 20368101			
Contribution  Candidate Name		011	Amount of Each Disbursement this Period			
Rep Gregory W. Meeks		Categor Type				
Office Sought:    House   Disburser	ment For: 2012 Primary	eral	Contribution			
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NAME OF COMMITTEE (In Full)			
Securities Industry and Financial M	arkets Association P	olitical Act	tion Committee
Full Name (Last, First, Middle Initial)			Date of Bishamourus
Ruben Hinojosa For Congress			Date of Disbursement
Mailing Address 10125 N. 10th Street, Suite E			09 28 2012
,	state Zip Code		Transaction ID : 20368107
	TX 78504		11411546161115 . 20000107
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Rep. Ruben Hinojosa	ant Fore Code	Туре	1000.00
Senate President	nent For: 2012 Primary		Contribution
State: TX District: 15			
Full Name (Last, First, Middle Initial)			B (B)
· Allyson Schwartz For Congress			Date of Disbursement
Mailing Address P.O. Box 2232			09 28 2012
•	state Zip Code		Transaction ID : 20368111
	PA 19046		11411546461112 1 20000111
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name	"	Category/	1000.00
Rep. Allyson Y. Schwartz		Туре	1000.00
Senate	nent For: 2012  Primary		Contribution
Full Name (Last, First, Middle Initial)  Robert Hurt For Congress		Date of Disbursement	
Robert Hurt For Congress			M M / D D / Y Y Y Y
Mailing Address PO Box 8			09 28 2012
City	state Zip Code		Transaction ID : 20368113
- · · · · · · · · · · · · · · · · · · ·	VA 24531		
Purpose of Disbursement Contribution	l i	011	
Candidate Name		011	Amount of Each Disbursement this Period
Rep. Robert Hurt		Category/ Type	1000.00
Office Sought: House Disbursem	nent For: 2012 Primary General Other (specify)	.,,,,,	Contribution
State: VA District: 05			
SUBTOTAL of Disbursements This Page (optional)		·····•	3000.00
TOTAL This Period (last page this line number only).			

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Mailing Address P.O. Box 188  City State Zip Code NY 10612 Purpose of Disbursement Contribution Candidate Name Rep. Nan Hayworth  Office Sought: House Senate President State NY District: 19  Full Name (Last, First, Middle Initial)  Mailing Address PO Box 1597  City Helena MT Senate President Contribution Candidate Name Rep. Disbursement For: 2012 Senate President Other (specify) ▼  Transaction ID: 20368117  Amount of Each Disbursement this Period Contribution  Contribution  Contribution  Contribution  Transaction ID: 20368135  Transaction ID: 20368137  Transaction ID: 20368135  Tran				Data of Diahuwaam	oont.
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Senate President President Other (specify)  State: NY District: 19  Full Name (Last, First, Middle Initial)  Mailing Address PO Box 1597  City State Zip Code MT 59624  Purpose of Disbursement Contribution  Candidate Name Rep. Dennis Rehberg  Office Sought: House President State: Disbursement  City State Zip Code MT 59624  Purpose of Disbursement For: 2012  Senate President Other (specify)  State Zip Code MT 59624  Transaction ID: 20368135  Amount of Each Disbursement this Period Contribution  Contribution  Contribution  Office Sought: House Disbursement For: 2012  Full Name (Last, First, Middle Initial)  Category/ Type  Office Sought: Amount of Each Disbursement this Period  Category/ Type  Office Sought: Date of Disbursement  Category/ Type  Office Sought: Date of Disbursement this Period  Amount of Each Disbursement this Period  Category/ Type  Office Sought: Date of Disbursement this Period  Category/ Type  Office Sought: Date of Disbursement this Period  Category/ Type  Office Sought: Date of Disbursement this Period  Category/ Type  Office Sought: Date of Disbursement this Period  Category/ Type  Office Sought: Date of Disbursement this Period  Category/ Type  Office Sought: Date of Disbursement this Period  Category/ Type  Office Sought: Date of Disbursement this Period  Category/ Type  Office Sought: Date of Disbursement this Period  Category/ Type  Office Sought: Date of Disbursement this Period			Type		1000.00
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